

EXHIBIT C

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22 **UNITED STATES DISTRICT COURT**
23 **FOR THE SOUTHERN DISTRICT OF CALIFORNIA**

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25 IN RE INCRETIN-BASED
26 THERAPIES PRODUCTS LIABILITY
27 LITIGATION

28 *As to All Related and Member Cases*

Case No. 13-md-2452-AJB-MDD

**DEFENDANT MERCK SHARP
& DOHME CORP.'S AMENDED
RESPONSES AND OBJECTIONS
TO PLAINTIFFS' GENERAL
CAUSATION
INTERROGATORIES**

Judge: Hon. Anthony J. Battaglia
Magistrate: Hon. Mitchell D. Dembin

Defendant Merck, Sharp & Dohme Corp. ("Merck"), pursuant to the Federal Rules of Civil Procedure, sets forth below its Responses and Objections to Plaintiffs' General Causation Interrogatories.

1 samples, etc.) provided to the EMA, and any study protocols; data; researcher and/or
2 laboratory technician notebooks, notes, logs, bench notes, books, computer files and
3 emails; results; reports; and pancreatic specimens (e.g., histology slides, tissue
4 samples, etc.) not provided to the EMA.

5 **ANSWER:** Merck objects to this interrogatory to the extent it seeks information on
6 drugs other than JANUVIA® or JANUMET®. Merck has produced its EMA
7 regulatory files for JANUVIA® and JANUMET®, but only under the unique and
8 specific facts of this case, namely, that Merck references the EMA's July 2013
9 Assessment in support of its defenses in this case. The EMA documents were
10 produced at MRKJAN0001369341-MRKJAN0003005722. Merck maintains its
11 position that regulatory filings with foreign agencies are generally irrelevant to
12 product liability actions in the United States and objects to interrogatories concerning
13 other foreign agencies as overly broad and unduly burdensome. Merck otherwise
14 incorporates its response to Interrogatory Number 2, 4, 7, 10 and 13 as if set forth
15 fully herein.

16
17 **INTERROGATORY NO. 17:** If any such other study, test, investigation, evaluation
18 and/or assessment YOU are aware of that bears, in whole or in part, on whether
19 JANUVIA AND/OR JANUMET CAUSES and/or is capable of CAUSING pancreatic
20 cancer (whether such study, test, investigation, evaluation and/or assessment involves
21 JANUVIA AND/OR JANUMET, another GLP-1 receptor or DPP-4 inhibitor, any
22 other drug, or no drug) has not yet been started or completed, describe the nature and
23 intended purpose of each such study, and identify the person(s) in charge of each.

24 **ANSWER:** Merck objects to this interrogatory as overly broad and unduly
25 burdensome to the extent that it asks Merck to identify studies conducted by third
26 parties concerning the safety profile of JANUVIA® and JANUMET® and/or other
27 incretin-based therapies. Information publicly available is equally accessible by

1 Plaintiffs. Merck states that the scientific evidence does not support the allegation that
2 JANUVIA® and/or JANUMET® causes and/or is capable of causing pancreatic
3 cancer. An assessment by the FDA and EMA concluded that “[b]oth agencies agree
4 that assertions concerning a causal association between incretin-based drugs and
5 pancreatitis or pancreatic cancer, as expressed recently in the scientific literature and
6 in the media are inconsistent with the current data.” *See* Amy G. Egan, et al.,
7 Pancreatic Safety of Incretin-Based Drugs—FDA and EMA Assessment, N. Eng. J.
8 Med. 794 (Feb. 27, 2014).

9 Merck provides literature references relating to sitagliptin in connection with
10 many of its submissions to the FDA. For example, Merck submits annual reports to
11 the FDA relating to the NDAs for JANUVIA® and JANUMET®, each of which
12 includes a comprehensive listing of published clinical trial literature for the applicable
13 reporting period. *See* MRKJAN0000167557-MRKJAN0000167597;
14 MRKJAN0000338592-MRKJAN0000338594; MRKJAN0000173092-
15 MRKJAN0000173161; MRKJAN0000344982-MRKJAN0000344986;
16 MRKJAN0000194776-MRKJAN0000194851; MRKJAN0000352210-
17 MRKJAN0000352212; MRKJAN0000202702-MRKJAN0000202819;
18 MRKJAN0000355672-MRKJAN0000355681; MRKJAN0000205171-
19 MRKJAN0000205343; MRKJAN0000358532-MRKJAN0000358544;
20 MRKJAN0000365311-MRKJAN0000365480; MRKJAN0000371537-
21 MRKJAN0000371543; MRKJAN0000895237-MRKJAN0000895549.

22 Merck’s Period Safety Update Reports for JANUVIA® and JANUMET®
23 include information about published safety studies that describe new and potentially
24 important safety information relating to sitagliptin. *See, e.g.*, MRKJAN0000191286.
25 Moreover, the Investigator’s Brochure, which is issued and filed with the FDA
26 periodically to provide an updated clinical profile of JANUVIA®, includes references
27

1 to support each of its sections. *See, e.g.,* MRKJAN0000367991-
2 MRKJAN0000368135.

3
4 **INTERROGATORY NO. 18:** Do YOU contend that any one study, test,
5 investigation, evaluation and/or assessment (whether such study, test, investigation,
6 evaluation and/or assessment involves JANUVIA AND/OR JANUMET, another
7 GLP-1 receptor or DPP-4 inhibitor, any other drug, or no drug), establishes that
8 JANUVIA AND/OR JANUMET does not CAUSE and/or is not capable of
9 CAUSING pancreatic cancer? If so, explain your answer.

10 **ANSWER:** Merck objects to this interrogatory to the extent it seeks information on
11 drugs other than JANUVIA® or JANUMET®. Any conclusions about the
12 relationship between JANUVIA® and/or JANUMET® and pancreatic cancer must be
13 based on a comprehensive analysis of available and reliable scientific evidence. The
14 data do not demonstrate that JANUVIA® or JANUMET® is associated with an
15 increased risk of pancreatic cancer.

16
17 **INTERROGATORY NO. 19:** Identify each pancreatitis and pancreatic cancer
18 ADVERSE EVENT that YOU are aware of with respect to JANUVIA AND/OR
19 JANUMET (whether it arose from pre-market or post-market use) that YOU deemed
20 to be related to the patient's use of JANUVIA AND/OR JANUMET, including its
21 Bates number (if already produced), date, name of the author/reporter, and the
22 location from which the ADVERSE EVENT was reported.

23 **ANSWER:** Merck objects to the term "related to" in this interrogatory as vague and
24 ambiguous, particularly as to whether it refers to a causal relationship. Merck objects
25 to Plaintiffs' characterization that adverse event reports can individually be used to
26 assess whether a drug caused the adverse event. Merck has produced MedWatch
27 forms for global adverse event reports of pancreatitis and pancreatic cancer for

1 sitagliptin through February 28, 2014. The MedWatch forms were produced at Bates
2 ranges MRKJAN0000375281-MRKJAN0000381778; MRKJAN0000953048-
3 MRKJAN0000954328; MRKJAN0001368733- MRKJAN0001368912. Merck also
4 has produced so-called “native” or “quasi-native” data files extracted from its
5 database for global adverse event reports of pancreatitis and pancreatic cancer for
6 sitagliptin received through February 28, 2014. The data includes fields used by
7 Merck in the ordinary course of business, but does not include fields containing
8 personal identifying information. Merck has produced these data extractions in
9 Access Database format. Further, Merck has produced documents relating to adverse
10 events for sitagliptin in its IND and NDA files for JANUVIA® and JANUMET® and
11 in its further production of clinical trial materials.

12
13 **INTERROGATORY NO. 20:** Identify each pancreatitis and pancreatic cancer
14 ADVERSE EVENT that YOU are aware of with respect to JANUVIA AND/OR
15 JANUMET (whether it arose from pre-market or post-market use) that YOU did not
16 deem to be related to the patient’s use of JANUVIA AND/OR JANUMET, including
17 its Bates number (if already produced), date, name of the author/reporter, and the
18 location from which the ADVERSE EVENT was reported.

19 **ANSWER:** Merck objects to the term “related to” in this interrogatory as vague and
20 ambiguous, particularly as to whether it refers to a causal relationship. Merck objects
21 to Plaintiffs’ characterization that adverse event reports can individually be used to
22 assess whether a drug caused the adverse event. Merck has produced MedWatch
23 forms for global adverse event reports of pancreatitis and pancreatic cancer for
24 sitagliptin through February 28, 2014. The MedWatch forms were produced at Bates
25 ranges MRKJAN0000375281-MRKJAN0000381778; MRKJAN0000953048-
26 MRKJAN0000954328; MRKJAN0001368733- MRKJAN0001368912. Merck also
27 has produced so-called “native” or “quasi-native” data files extracted from its

1 medical incident as an adverse event, and Merck does not make any independent
2 determination as to whether any such incident is an “adverse event.”

3
4 **INTERROGATORY NO. 23:** Itemize and explain the criteria YOU use to
5 determine whether an ADVERSE EVENT is related to a patient’s use of JANUVIA
6 AND/OR JANUMET, and identify the DOCUMENTS that list and/or explain those
7 criteria.

8 **ANSWER:** Merck objects to the term “related to” in this interrogatory as vague and
9 ambiguous, particularly as to whether it refers to a causal relationship. Merck objects
10 to Plaintiffs’ characterization that adverse event reports can individually be used to
11 assess whether a drug caused the adverse event.

12 Merck’s policies and practices with respect to spontaneous and clinical trial
13 adverse event reports were described in detail in the February 26, 2014, 30(b)(6)
14 deposition of Linda Hostalley. Pursuant to regulations and pharmacovigilance
15 principles, Merck conducts aggregate analyses of spontaneous post-marketing reports
16 to assess whether the reports suggest a need for further investigation of a potential
17 safety signal. Merck does not make determinations as to whether any one
18 spontaneous postmarketing adverse event is related to the use of JANUVIA® or
19 JANUMET®. The procedures for this process are contained in Standard Operating
20 Procedure 230-PV001 (MRKJAN0000892492-MRKJAN0000892547), as well as
21 parts of the MARRS Manual (MRKJAN0000892548-MRKJAN0000893196). In
22 addition, since 2009 Merck’s clinical trial personnel have performed “Company
23 Causality Assessments” of certain individual adverse events reported to the Company
24 from sitagliptin clinical trials. The procedures for Company Causality Assessments
25 are set forth in Standard Operating Procedure 210-PV004 (MRKJAN0000892159-
26 MRKJAN0000892165), as well as parts of the MARRS Manual
27 (MRKJAN0000892548-MRKJAN0000893196). Merck’s trending analysis on

JANUVIA® and JANUMET® has not revealed that either drug causes pancreatitis or pancreatic cancer.

In addition, Merck’s JANUVIA® Risk Management Safety Team (“RMST”) is a cross-functional team responsible for overall risk management and safety signal evaluation for JANUVIA® and JANUMET®, including pancreatic safety issues, and Merck’s Safety Review Committee (“SRC”) is responsible for reviewing preclinical and clinical safety-related findings impacting both developmental and marketed products, as well as for reviewing emerging signals and findings from post-marketing safety assessment. Relevant, non-privileged documents from the SharePoint sites for each of these groups have been produced to Plaintiffs. The SRC documents were produced at MRKJAN0000928460-MRKJAN0000931930. RMST is a subteam of the JANUVIA® Product Development Team (“PDT”), and the RMST-related documents are included within the production of documents collected from the PDT SharePoint site, which was produced at MRKJAN0000931938-MRKJAN0000953047.

INTERROGATORY NO. 24: Identify all medical and/or scientific literature YOU are aware of, including studies, editorials and/or peer-reviewed articles, that relates to the association between JANUVIA AND/OR JANUMET or any other GLP-1 agonist or DPP-4 inhibitor and pancreatitis and/or pancreatic cancer.

ANSWER: Merck objects to this interrogatory to the extent it seeks information on drugs other than JANUVIA® or JANUMET®. Merck states that in assessing drug safety, Merck reviews all of the safety data on a drug reasonably available to it. Merck provides literature references relating to sitagliptin in connection with many of its submissions to the FDA. For example, Merck submits annual reports to the FDA relating to the NDAs for JANUVIA® and JANUMET®, each of which includes a comprehensive listing of published clinical trial literature for the applicable reporting